Open Letter to the Executive Board of the World Health Organization

January 2017

Dear Members of the Executive Board,

Re: Conflict of interest safeguards far too weak to protect WHO from influence of regulated industries (the case of the Bill and Melinda Gates Foundation)

With all the World Health Organization's posturing about conflict of interest safeguards and due diligence in its <u>new approach to collaborating with non-state actors</u> in its recently revised constitutional "Basic Documents," the WHO ended speculation about its ambiguous plan when it proposed to admit the Bill and Melinda Gates Foundation into "Official Relations" with the WHO and as a non-voting member of its governing body, the World Health Assembly.

According to the United States Government's Securities and Exchange Commission, the Bill and Melinda Gates Foundation Trust endowment—the source of revenue for the Foundation—is heavily invested in many of the food, alcohol, and physical inactivity-related consumer products that cause or treat the current crisis of preventable heart disease, stroke, cancer, and diabetes. Gates Foundation Trust direct investments include:

- Coca-Cola regional company that operates in the Americas south of the U.S. (\$466 million),
- Walmart (\$837 million), the largest food retailer in the U.S. and a leading retailer of pharmaceutical drugs and alcoholic beverages,
- Walgreen-Boots Alliance (\$280 million), a large multinational pharmaceutical drug retailer, and
- two of the world's largest TV companies (screen-time): Group Televisa (\$433 million) and Liberty Global PLC (\$221 million).

In addition, approximately one-quarter of the Gates Foundation Trust assets are invested in <u>Berkshire Hathaway Inc.</u>, a holding company that owns a US\$17 billion share in the U.S.-based Coca-Cola company and US\$29 billion interest in Kraft Heinz Inc., another of the world's ten largest food companies. These investments make the Gates Foundation a beneficiary of sales of several categories of products that are the subject of WHO standards and advice to governments related to nutrition and physical activity.

It is concerning that these conflicts of interest are not acknowledged in the WHO budget's <u>financial contributor database</u> (which notes only that the Gates Foundation contributed \$629 million to the WHO's \$4.5 billion two-year budget), or in the <u>WHO's Register of non-State Actors</u> (which notes only that the: foundation has "engagements with select members of the pharmaceutical...[and] food and beverage industries.").

Underfunding of the WHO by Member States undermines its capacity to perform its vital global public health work, which is especially important to low and middle income countries with limited local health policy capacities. Making up WHO budget shortfalls with funding from major investors in food, drug, and alcohol companies (which are often headquartered in wealthy countries) further compromises the independence of the WHO. Granting the Gates Foundation Official Relations status signifies a sharp departure from the post-WWII tradition of the World Health Assembly and makes a mockery of the conflict of interest safeguards purported to underpin the new "Framework of engagement with non-State actors (FENSA)."

We urge the Executive Board to defer the decision to accept the Gates Foundation and any other new and legacy applicants for Official Relations status for which there has been no conflict of interest safeguard review on the record for consideration by Member States of the Executive Board. It is, of course, deeply troubling from a governance standpoint that the Executive Board is being asked to approve applicants for Official Relations and verify compliance with conflicts of interest safeguards without being provided with any relevant evidence—verified or otherwise—on the public record.

Respectfully submitted by the undersigned public interest, health, and citizens' groups,

Mayamir Dr. Patrick V. Kadama

Dr. Patrick Y. Kadama, Dir, Policy & Strategy African Centre for Global Health & Social Transformation (ACHEST) (Uganda) Bruce Lee Livingston, Executive Director/CEO Alcohol Justice (USA)

Hacene Hamdani, President du reseau, Algerian Network of Small-Scale Fishing Organisation (Algeria)

Patti Rundall, OBE, Policy Director Baby Milk Action (United Kingdom)

REST

Jörg Schaaber BUKO Pharma-Kampagne (Germany) Bill Jeffery, BA, LLB Centre for Health Science and Law (Canada)

Sin Mans. charl Thomas Michael Thorn, Chief Executive Sofía Monsalve Foundation for Alcohol Research and Education Secretary General (FARE) (Australia) FIAN International (Global, Germany) Kryhenini Jethus [signature forthcoming] Nicoletta Dentico, Co-director Dr. Katherine Irene Pettus, PhD, OSB Health Innovation in Practice (HIP), International Assn. for Hospice and Palliative Care (Global, Switzerland) (Global, USA) Quummm [signature forthcoming] Marina Rea Dr Arun Gupta, Central Coord. BPNI, Reg'l Coord International Baby Food Action Network International Baby Food Action Network (IBFAN-Asia) (IBFAN) (Brasil) CEFEMINA - IBFAN LAC COSTA RICA MARTA TREJOS [signature forthcoming] Director Annelize van Elk, Director International Code Documentation Centre (Global, The Netherlands) Tua, the Att Polician Judith Hitchman, President Mr Anaru Fraser, LLB International Community Supported Agriculture Network International Field Representative (URGENCI) (France) International Indian Treaty Council (Oceania Region) Elisabeth Sterken, MSc, Dt., Director Dr. Mira Shiva, Coordinator INFACT Canada and Infant Baby Food Action Network Initiative for Health & Equity in Society (India) IBFAN (Global, Canada)

[signature forthcoming] Thomas Gebauer, Executive Director Kristina Sperkova, Int'l President Medico International (Germany) IOGT International (Sweden) T. Wasay Thomas Schwarz, Executive Secretary Ms. Lizzy Igbine, National President Medicus Mundi Int'l Network Health for All (Global, Nigerian Women Agro Allied Farmers Assn. (Nigeria) Switzerland) [signature forthcoming] [signature forthcoming] Alejandro Calvillo, Executive Director Claudio Schuftan People's Health Movement (Global) El Poder del Consumidor (Mexico) mappoluma adhe Holla Bhas Radha Holla Bhar Gopa Kumar Public Health Resource Network (India) Third World Network (India) [signature in original] Simon Capewell, MD, DSc Fiona Dove, Director c/o University of Liverpool, Dept. of Public Health Transnational Institute (TNI) (The Netherlands) & Policy, Inst. of Psychology, Health & Society (United Kingdom) [signature forthcoming] Margaret Miller APD, AN, Professional Affairs Secretary Mariska Meurs World Public Health Nutrition Association Wemos (The Netherlands) (Global, United Kingdom)



Search the Next-Generation **EDGAR System**

U.S. Securities and Exchange Commissior

Filing Detail

SEC Home » Search the Next-Generation EDGAR System » Company Search » Current Page

Form SC 13D/A - General statement of acquisition of beneficial ownership[Amend]

SEC Accession No. 0001104659-17-002579

Filing Date 2017-01-17 Accepted

Filing Date Changed 2017-01-17

Group Members MELINDA FRENCH GATESWILLIAM H. GATES III

2017-01-17 16:31:14

Documents

Document Format Files

Seq	Description	Document	Туре	Size
1	SC 13D/A	a17- 2484_1sc13da.htm	SC 13D/A	97693
2	EX-99.1	a17- 2484_1ex99d1.htm	EX-99.1	34554
3	EX-99.2	a17- 2484_1ex99d2.htm	EX-99.2	159913
	Complete submission text file	0001104659-17- 002579.txt		293859

BERKSHIRE HATHAWAY INC (Subject) CIK: 0001067983 (see all company filings)

IRS No.: 470813844 | State of Incorp.: DE | Fiscal Year End: 1231

Type: **SC 13D/A** | Act: **34** | File No.: **005-55113** | Film No.: **17531048** SIC: 6331 Fire, Marine & Casualty Insurance

Assistant Director 1

Business Address 3555 FARNAM STREET **OMAHA NE 68131** 4023461400

Mailing Address 3555 FARNAM STREET **OMAHA NE 68131**

BILL & MELINDA GATES FOUNDATION TRUST (Filed Business Address

by) CIK: 0001166559 (see all company filings)

IRS No.: 911663695 | State of Incorp.: WA | Fiscal Year End: 1231 Type: SC 13D/A

2365 CARILLON POINT

POINT KIRKLAND WA 98033 KIRKLAND WA 98033

Mailing Address

2365 CARILLON

4258897900

The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 13F

OMB Number: 3235-0006 Expires: Oct 31, 2018 Estimated average burden

OMB APPROVAL

FORM 13F INFORMATION TABLE

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5		COLUMN 6	COLUMN 7	COLUMN 8			
			VALUE	SHRS SH/ PUT/		INVESTMENT	OTHER	VOTING AUTHORITY			
NAME OF ISSUER	TITLE OF CLASS	CUSIP	(x\$1000)		PRN	CALL	DISCRETION	MANAGER	SOLE	SHARED	NONE
ARCOS DORADOS HOLDINGS INC	SHS CLASS -A -	G0457F107	16,129	3,060,500	SH		SOLE		3,060,500	0	0
AUTONATION INC	COM	05329W102	92,487	1,898,717	SH		SOLE		1,898,717	0	0
BERKSHIRE HATHAWAY INC DEL	CL B NEW	084670702	10,741,340	74,349,971	SH		SOLE		74,349,971	0	0
CANADIAN NATL RY CO	COM	136375102	1,120,098	17,126,874	SH		SOLE		17,126,874	0	0
CATERPILLAR INC DEL	COM	149123101	999,626	11,260,857	SH		SOLE		11,260,857	0	0
COCA COLA FEMSA S A B DE C V	SPON ADR REP L	191241108	466,104	6,214,719	SH		SOLE		6,214,719	0	0
CROWN CASTLE INTL CORP NEW	COM	22822V101	502,413	5,332,900	SH		SOLE		5,332,900	0	0
ECOLAB INC	COM	278865100	531,481	4,366,426	SH		SOLE		4,366,426	0	0
FEDEX CORP	COM	31428X106	528,407	3,024,999	SH		SOLE		3,024,999	0	0
GRUPO TELEVISA SA	SPON ADR REP ORD	40049J206	433,624	16,879,104	SH		SOLE		16,879,104	0	0
LIBERTY GLOBAL PLC	SHS CL A	G5480U104	72,445	2,119,515	SH		SOLE		2,119,515	0	0
LIBERTY GLOBAL PLC	SHS CL C	G5480U120	120,244	3,639,349	SH		SOLE		3,639,349	0	0
LIBERTY GLOBAL PLC	LILAC SHS CL A	G5480U138	10,220	370,424	SH		SOLE		370,424	0	0
LIBERTY GLOBAL PLC	LILAC SHS CL C	G5480U153	17,841	636,044	SH		SOLE		636,044	0	0
UNITED PARCEL SERVICE INC	CL B	911312106	494,890	4,525,329	SH		SOLE		4,525,329	0	0
WAL-MART STORES INC	COM	931142103	836,808	11,603,000	SH		SOLE		11,603,000	0	0
WALGREENS BOOTS ALLIANCE INC	COM	931427108	280,187	3,475,398	SH		SOLE		3,475,398	0	0
WASTE MGMT INC DEL	COM	94106L109	1,188,083	18,633,672	SH		SOLE		18,633,672	0	0